CERTIFICATION CHECKLIST

Certification Requirements	Pass	Fail
Are all components present for testing?		
Is device using HTTPS protocol for submissions?		
Are transactions created with the proper TCN identifier?		
Are TCNs sequential?		
Are packets stored as NIST files using TCN as file name with .eft?		
Are packets accessible?		
Are packets readable using NISTPACK?		
Registration Requirements		
Are Device ORI, Mnemonic, Image Capture Equipment, Vendor Name, and Device Type fields easily entered by operator?		
Are all NIST fields in registration packet properly filled in?		
Does device automatically incorporate field 2.589 upon registration response?		
Search Submission Requirements		
Can operator select a Search submission? NOTE: Applicable if device performs both search/match submissions		
Is operator queried for identifier? (SSN)? NOTE: data can be listed in a text configuration file		
Does operator have ability to select optional responses?		
Can operator cancel the transaction?		
Does device prompt operator to scan images?		
Does device prompt operator which fingers to scan?		
Can operator select which two of the four images he wants to scan?		
Are scanned images properly identified by finger position in the NIST packet?		
Are all mandatory NIST fields in Search packet properly filled in?		
Does NIST packet contain Type-1, Type2, Type-4 or Type-14 fields containing correct data elements?		
Is demographic data validated?		
Is the TCN properly formatted, using the Device ID as a prefix?		
Are the generated TCNs sequential?		
Is packet stored as a NIST packet using TCN as file name?		
Can packet be accessed and viewed by AWARE software?		
Does submission conform to FBI CJIS Security Policies		
Can operator resubmit images?		
Is a new TCN assigned to the resubmitted images?		

Certification Requirements	Pass	Fail
Match Submission Requirements	**Pass**	**Fail**
Can operator select a Match submission? NOTE: Applicable if device performs both search/match submissions.		
Is operator queried for identifier? (SSN)? NOTE: data can be listed in a text configuration file		
Does operator have ability to select optional responses?		
Is operator prompted to enter either DLE #, FBI #, or DOC #?		
Can operator cancel the transaction?		
Does device prompt operator to scan images?		
Does device prompt operator which fingers to scan?		
Can operator select which one of the two images he wants to scan?		
Are scanned images properly identified by finger position in the NIST packet?		
Are all mandatory NIST fields in Match packet properly filled in?		
Does NIST packet contain Type-1, Type2, Type-4 or Type-14 fields containing correct data elements?		
Is demographic data validated?		
Is the TCN properly formatted, using the Device ID as a prefix?		
Are the generated TCNs sequential?		
Is packet stored as a NIST packet using TCN as file name?		
Can packet be accessed and viewed by AWARE software?		
Does submission conform to FBI CJIS Security Policies		
Can operator resubmit images?		
Is a new TCN assigned to the resubmitted images?		
Search/Match Response Requirements		
Is the device notified of the response?		
Does the Search response TOT contain either "HIT", "NO HIT", "AMBIGUOUS", or "ERROR"?		
Does the Match response TOT contain either "HIT", "NO HIT", "NO RECORD" or "ERROR"?		
Can operator easily view the response data?		

Watch List Requirements	Pass	Fail
Does operator have an "Add to Watch List" entry screen?		
Does entry screen prompt operator for mandatory data fields?		
Does entry screen present optional data entry fields to operator?		
Does operator have ability to choose either a "Search" or "Match" submission?		
Are all required NIST fields completed for a "Search" submission?		
Are all required NIST fields completed for a "Match" submission?		
Is operator able to view results of submission? (HIT, NOHIT, AMBIGIOUS, ERROR)		
RISC Requirements		
Does operator have ability to enter a RISC "Search" submission? (RFP codes 009 or 013)		
Is operator provided correct response from search? (RED – Hit; YELLOW – Ambiguous/Timeout; GREEN – Not Identified)		
Is the operator presented which database the RED (Hit) was made against?		
Is the operator presented with the results from the FALCON search?		
Is the operator alerted to an FBI number discrepancy?		
DNA Requirements		
Does operator have ability to select either a "Search" or "Match" submission?		
Is operator properly notified of "HIT", "Sample on file" , "No Action Required"?		
Is operator presented a data entry screen when a "HIT", "Sample not on File" returned?		
Does data entry screen properly fill in Name, DOB, Sex, Race, SID, FBI #, DOC #, SSN with non-modifiable data?		
Is operator able to enter data in State, County, FL Statute, Offense, Court Case #, Court Ordered Collection, Probation status, Date collected fields?		
Is operator presented a data entry screen when a "NOHIT", "Sample Required" returned?		
Is operator able to enter data in all fields?		
Is operator able to print 2D Bar code Label with required data?		
Does label include fingerprints?		

Watch List Requirements	Pass	Fail

VENDOR:

DEVICE TYPE:

SOFTWARE VERSION:

DATE:

COMMENTS: