The Office of Executive Investigations, Professional Standards Unit, is responsible for receiving, processing, and investigating all complaints of alleged administrative and criminal misconduct by members of the Florida Department of Law Enforcement (FDLE).

The Professional Standards Unit, located at FDLE Headquarters, is supervised by a Special Agent Supervisor and staffed by four Inspectors and one Government Analyst.

Per FDLE Policy 3.5, FDLE will review or investigate all complaints received by any means that allege a member has violated law, policy, procedures, or otherwise failed to conduct himself or herself in the manner expected of FDLE members. Any resulting disciplinary actions will be applied fairly and consistently.

As of December 2012, FDLE employed 1,680 members statewide, including 428 sworn members. The Florida Capitol Police has the greatest number of sworn members (79), and the Miami Regional Operations Center (MROC) has the second greatest number of sworn members (71). The Criminal Justice Information Services Program (CJIS) has the greatest number of non-sworn members (293). The Orlando Regional Operations Center (OROC) has the second greatest number of non-sworn members (180), followed by the Tampa Bay Regional Operation Center (TBROC), which has the third greatest number of non-sworn members (172).

The information in this report provides an analysis of Professional Standards cases for calendar year (CY) 2012.

- The Professional Standards Unit completed 60 “full” Internal Investigations and 54 Incident Investigations, totaling 114 cases for CY 2012. This compares with 50 “full” Internal Investigations and 57 Incident Investigations, totaling 107 cases in CY 2011.

- The number of cases involving members located at Headquarters (HQ) during CY 2012 showed the largest increase as compared to CY 2011, from 12 cases to 23 cases. With the exception of TBROC, Capitol Police and the Tallahassee Regional Operations Center (TROC), all other regions showed a decrease in cases involving their members. The region having the most substantial decrease in cases during CY 2012 was MROC, with a 33% decrease as compared to CY 2011 (12 cases to 8 cases in MROC).

- During CY 2012, At-Fault Vehicle Accidents were the most common type of violation (20), followed by Standards of Member Conduct (12), and Negligence (8) violations.
There was change in the types of “full” Internal Investigations case findings during CY 2012 as compared to CY 2011. Sustained case findings increased 18% (39 to 46), as well as Non-Sustained findings increased from 2 to 5. Case findings not seen in CY 2012, but recorded in CY 2011, were No Investigation Warranted, Exonerated, Policy Failure and Performance Issue.

During CY 2012, 33% (20) of all disciplinary actions imposed involved Oral Counseling. Overall, a comparison from CY 2011 to CY 2012 noted the following case dispositions were not used: No Internal Investigation Warranted, Performance Issue, Dismissed and Retired. Slight increases were seen in Written Reprimands from 6 to 7, Oral Reprimands from 5 to 6, Resignations from 2 to 5 and No Action Taken from 2 to 7.

During CY 2012, changes were noted in the total number of Preliminary Reviews in all 5 categories as compared to CY 2011. The number of Use of Force cases decreased from 6 to 4. The number of Not-At-Fault Accidents decreased from 28 to 24. The number of Preliminary Inquiry cases decreased from 19 to 11. Vehicle Pursuits cases increased from 0 to 2 incidents. The number of Citizen Complaints increased from 4 to 13 incidents.

During CY 2012 the Professional Standards Unit reviewed and processed 52 Performance Issues submitted by the regions and program areas. Of these, CJIS submitted the most (11 incidents), followed by TROC (9 incidents), and Professionalism (6 incidents). Overall, Performance Issue cases submitted by the regions and program areas increased from 38 in CY 2011 to 52 in CY 2012. This represents a thirty-six percent (36%) increase.

Criminal allegations reviewed during CY 2012 included Possession of a Controlled Substance, Aggravated Assault, Theft, and Improper Exhibition of a Dangerous Weapon/Firearm.

From CY 2004 through CY 2012, 65 Early Intervention System (EIS) alerts were caused by FDLE members who met established risk indicator thresholds. In CY 2012, there was 1 FDLE member identified by the EIS who met the threshold of having 3 risk indicators within a one-year period. From CY 2004 through CY 2012, there were 11 members who met the threshold of 3 risk indicators within a one-year period. There were also 2 members who met the threshold of 4 risk indicators within a two-year period during the CY 2004 through CY 2012 period.

Over the preceding seven years, the number of Professional Standards cases involving non-sworn members was highest in CY 2007, with 64 cases, and lowest in CY 2011, with 23. The number of Professional Standards cases involving sworn members was highest during CY 2006, with 61, and lowest during CY 2011 with 27.
Total Members per Region/Program Areas for Calendar Year 2012

*Total Members: 1,680 (Sworn = 428; Non-Sworn = 1252)
*As of December 31, 2012

- CJIS: 293
- OROC: 180
- TBROC: 172
- TROC: 132
- JROC: 117
- IRM: 112
- I&FS/Dom Sec/OSI: 103
- Capitol Police/Protective Operations: 99
- CJ Professionalism Program: 99
- MROC: 97
- Business Support: 87
- PROC: 78
- FMROC: 77
- Office of the Executive Director: 34
Cases Involving Sworn & Non-Sworn Members for Calendar Years 2011 & 2012

- **Sworn Members**
  - 2011: 6.3%
  - 2012: 6.7%

- **Non-Sworn Members**
  - 2011: 1.8%
  - 2012: 2.5%
Comparison of Cases by Regions for Calendar Years 2011 & 2012

<table>
<thead>
<tr>
<th>Region/Program</th>
<th>2011</th>
<th>2012</th>
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</thead>
<tbody>
<tr>
<td>HQ (*629)</td>
<td>12</td>
<td>23</td>
</tr>
<tr>
<td>TBROC (*172)</td>
<td>5</td>
<td>8</td>
</tr>
<tr>
<td>MROC (*97)</td>
<td>12</td>
<td>8</td>
</tr>
<tr>
<td>TROC (*132)</td>
<td>6</td>
<td>6</td>
</tr>
<tr>
<td>OROC (*180)</td>
<td>5</td>
<td>4</td>
</tr>
<tr>
<td>Capitol Police (*99)</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>FMROC (*77)</td>
<td>5</td>
<td>4</td>
</tr>
<tr>
<td>JROC (*117)</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>PROC (*78)</td>
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<td>1</td>
</tr>
</tbody>
</table>

* Total Number of Members Per Region/Program Area
Most Common Allegations (Violations) for Calendar Year 2012

Safety (At-Fault Vehicle Accidents) - 20
- Standards of Member Conduct - 12
- Negligence - 8
- Failure to Secure/Care for Property - 7
- FDLE Policy 1.4 (Use of FDLE Resources) - 4
- Falsification of Records - 4
- FDLE Policy 4.4 (Evidence Handling) - 4
- Lost Credentials - 2
- Attendance and Leave Form - 2
- FDLE Policy 3.17 (Workplace/Domestic Violence) - 2
- Unbecoming Conduct - 1
Discipline Imposed for Sustained Cases Calendar Year 2012

- Counseling: 20
- Pending: 9
- Written Reprimand: 7
- No Action Taken: 7
- Suspension: 6
- Oral Reprimand: 6
- Resigned: 5
Comparison of Preliminary Reviews Completed (Calendar Years 2011 & 2012)

- Complaints Re: FDLE Members: 4 in 2011, 13 in 2012
- Not-At-Fault Accidents: 28 in 2011, 24 in 2012
- Use of Force: 6 in 2011, 4 in 2012
- Vehicle Pursuits: 0 in 2011, 2 in 2012
- Preliminary Inquiry: 19 in 2011, 11 in 2012
### Total Number of Performance Issue Incidents Reviewed by the Professional Standards Unit (By Region/Program)

**Total for Calendar Year 2012: 52**

<table>
<thead>
<tr>
<th>Region/Program</th>
<th>Incidents</th>
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<tbody>
<tr>
<td>CJIS</td>
<td>11</td>
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<tr>
<td>TROC</td>
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<tr>
<td>CJ Professionalism Program</td>
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<tr>
<td>Business Support</td>
<td>4</td>
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<tr>
<td>MROC</td>
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<td>OROC</td>
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<td>TBROC</td>
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<tr>
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<td>JROC</td>
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<td>Office of the Executive Director</td>
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